

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
25 March 2004 (25.03.2004)

PCT

(10) International Publication Number
WO 2004/025648 A1

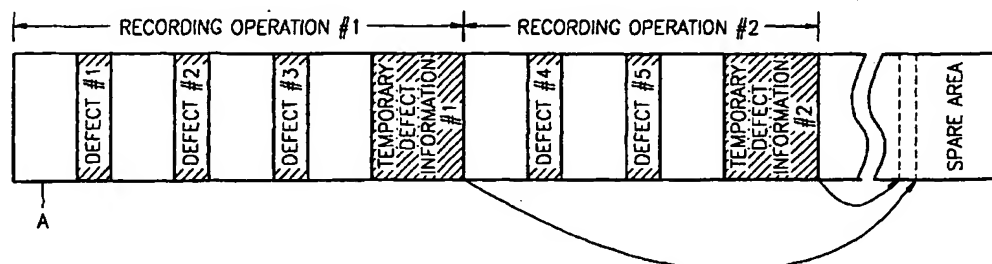
- (51) International Patent Classification⁷: **G11B 20/18** (74) Agent: **LEE, Young-Pil**; The Cheonghwa Building, 1571-18, Seocho-dong, Seocho-gu, Seoul 137-874 (KR).
- (21) International Application Number:
PCT/KR2003/001876 (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.
- (22) International Filing Date:
9 September 2003 (09.09.2003)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
10-2002-0054754
10 September 2002 (10.09.2002) KR
- (71) Applicant: **SAMSUNG ELECTRONICS CO., LTD.** [KR/KR]; 416, Maetan-dong, Yeongtong-gu, Suwon-si, 442-742 Gyeonggi-do (KR).
- (72) Inventors: **KO, Jung-Wan**; 315-401 Daewoo Apt., 956-2, Cheongmyung Maeul 3-danji, Yeongtong-dong, Paldal-gu, Suwon-si, 442-470 Gyeonggi-do (KR). **LEE, Kyung-Geun**; 122-1002 Sibeom Hanshin Apt., 87, Seohyun-dong, Bundang-gu, Seongnam-si, 463-050 Gyeonggi-do (KR).
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD AND APPARATUS FOR ADAPTIVELY ALLOCATING A SPARE AREA IN A RECORDING MEDIUM, AND A RECORDING MEDIUM HAVING A SPARE AREA ALLOCATED USING THE SAME



(57) Abstract: A method and apparatus for allocating a spare area to a recording medium, and a recording medium thereof. The method includes recording data in a data area of the recording medium according to a first recording operation, creating information regarding the adjusted spare area size, based on a defect contained in the recorded data, recording the information regarding the spare area and information regarding the defect, in the data recorded according to the first recording operation, as first temporary defect information in the data area, and recording defect management information for managing the first temporary defect information as first temporary defect management information in a temporary defect management information area which is present in at least one of a lead-in area, a lead-out area, and an outer area of the recording medium.

**METHOD AND APPARATUS FOR ADAPTIVELY ALLOCATING A
SPARE AREA IN A RECORDING MEDIUM, AND A RECORDING
MEDIUM HAVING A SPARE AREA ALLOCATED USING THE SAME**

5 Technical Field

The present invention relates to an allocating of a spare area to a recording medium, and more particularly, to a method and apparatus for allocating a spare area to a recording medium for defect management, and a recording medium thereof.

10 Background Art

Generally, for defect management purposes, a spare area is allocated to a data area of a recording medium. The allocation of the spare area causes the data area to be divided into a user data area and the spare area. The spare area is an area of the recording medium reserved for use when a defect occurs in the data area. When the defect occurs, user data can be recorded in the spare area, thereby enabling a complete recording of user data to a recording medium.

In general, the allocating, in the recording medium, of the spare area is performed during initialization. A ratio of the spare area to the data area is determined based on a defect rate of a recording medium, the characteristics of data to be recorded, the size of the data area, etc.

However, when defects occur more frequently than expected, the spare area may become entirely filled with data, before completing data recording in the user data area. The exhaustion of the spare area prevents defect management from being performed on the remaining portion of the user data area. In contrast, a large part of the spare area may be left to be unused when defects occur less frequently than expected. In the former case, the size of the spare area, associated with the data area, ends up being excessively small, whereas in the latter

case, the size of the spare area ends up being excessively large. For both cases, the data area is not efficiently used.

Defect management can be defined as a rewriting of user data, of a portion of a user data area in which a defect is detected, in a new
5 portion of data area of a recording medium, thereby compensating for a loss in data caused by the defect. In general, defect management is performed using linear replacement or slipping replacement. In linear replacement, a user data area, in which a defect occurs, is replaced with a spare data area having no defects. In slipping replacement, user data
10 recorded in a user data area having a defect is slipped to be recorded in the next user data area having no defects.

Both linear replacement and slipping replacement are applicable only to recording media such as a DVD-RAM/RW on which data can be repeatedly recorded and where recording can be performed using a
15 random access method. It is difficult to apply linear replacement and slipping replacement to a write once recording medium, where recording is allowed only once. In general, the presence of defects in a recording medium is detected by recording data on the recording medium and confirming, based on the recorded data, whether or not data can be
20 recorded on the recording medium. However, once data is recorded on a write once recording medium, it is impossible to overwrite new data and manage defects therein.

Meanwhile, after the development of CD-Rs and DVD-Rs, high-density write once recording media have been introduced, with the
25 high-density write once recording media having a recording capacity of several dozens of giga-bytes (GB). These recording media can be used as backup recording media, since they are not expensive and allow random access which enables fast reading operations. However, defect management is not available for write once recording media. Therefore,
30 a backup operation is discontinued when a defective area, i.e., an area

where a defect occurs, is detected during the backup operation, because defect management on a write once recording medium is not performed.

In general, a backup operation is performed when a system is not frequently used, e.g., at night when a system manager does not operate the system. In this case, it is more likely that a backup operation is stopped when a defective area of a write once recording medium is detected.

Disclosure of the Invention

10 The present invention provides a method and apparatus for more efficiently allocating a spare area to a recording medium according to an occurrence rate of defects in the recording medium, thus enabling efficient use of a data area, and a recording medium having a spare area allocated thereon using these methods and apparatuses.

15 The present invention also provides a method and apparatus for allocating a spare area to a write once recording medium according to an occurrence rate of defects in the recording medium, thus enabling efficient use of a data area, and a recording medium having a spare area allocated thereon using these methods and apparatuses.

20 Additional aspects and/or advantages of the invention will be set forth in part in the description which follows and, in part, will be obvious from the description, or may be learned by practice of the invention.

 According to an aspect of the present invention, there is provided a method of allocating a spare area for a recording medium, including
25 recording data in a data area of the recording medium according to a first recording operation, creating information regarding an adjustment of a size of an allocated spare area of the recording medium, based on a defect detected in the recorded data during the first recording operation, recording the information regarding the adjustment of the size of the

spare area and information regarding the defect, in the data recorded according to the first recording operation, as first temporary defect information in the data area of the recording medium, and recording defect management information to the recording medium for managing
5 the first temporary defect information as first temporary defect management information in a temporary defect management information area of the recording medium in at least one of a lead-in area, a lead-out area, and an outer area of the recording medium.

There may be a repeating of another recording of data in the data
10 area, a recording of another spare area size adjustment information, a recording of additional defect information, and recording of defect management information on the recording medium, and creating information regarding the additional adjusted spare area size while increasing an index of another recording operation, another temporary
15 defect information area, and the temporary defect management information, by 1, and a recording a lastly recorded temporary defect management information and temporary defect information in a defect management area (DMA) of the recording medium in at least one of the lead-in area, the lead-out area, and the outer area of the recording
20 medium.

The creating of the information regarding the adjustment of the size of the allocated spare area may include calculating an occurrence rate of defects, and creating information regarding the adjustment of the size of the allocated spare area based on the calculated occurrence rate.
25 The creating of the information regarding the adjustment of the size of the allocated spare area based on the calculated occurrence rate may use the occurrence rate of defects and the adjustment of the size of the allocated spare area, or the occurrence rate of defects and a mapping table in which possible positions of the spare area are mapped.

The recording of data in the data area of the recording medium according to the first recording operation may include recording data in predetermined units of data on the recording medium, verifying the recorded data to detect an area of the recorded data in which a defect
5 occurs, storing information designating the area having the defect and subsequent area, in which data is recorded after the area having the defect, as a defective area, in memory, recording data, on the recording medium, after the defective area in predetermined units, and the recording of the adjustment of the size of the allocated spare area and
10 the defect information comprises reading the first temporary defect information from the memory and recording the read first temporary defect information in a first temporary defect information area of the data area on the recording medium.

According to another aspect of the present invention, there is
15 provided a recording or recording and reproducing apparatus, including a recording/reading unit to record/read data on/from a recording medium, and a controller to control the recording/reading unit to record information, regarding a defect in data recorded in a data area of the recording medium according to a first recording operation, as first temporary defect
20 information in the data area, to adjust a size of a spare area, of the recording medium, based on the defect and to control the recording/reading unit to record information regarding an adjustment of a size of the spare area in a first temporary defect management information area, and to control the recording/reading unit to record
25 defect management information to manage the first temporary defect information area as first temporary defect management information in a temporary defect management information area on the recording medium in at least one of a lead-in area and a lead-out area of the recording medium.

The controller may control the recording/reading unit to record data in the data area while increasing an index of another recording operation, another temporary defect information, and another temporary defect management information, by 1, and control the recording/reading
5 unit to record a lastly recorded temporary defect management information and temporary defect information in a defect management area, on the recording medium in at least one of the lead-in area and the lead-out area of the recording medium.

The controller may calculate an occurrence rate of defects in the
10 data recorded according to the first recording operation and adjusts the adjustment of a size of the allocated spare area based on the calculated occurrence rate.

The controller may control the recording unit to record data in predetermined units of data according to a predetermined recording
15 operation, verify the recorded data to detect the defect in the recorded data, creating defective area information, used to designate an area of the recording medium having the defect and data recorded after the area having the defect, as a defective area, and store the defective area information as an *i*th temporary defect information in a memory, control
20 the recording unit to record data in predetermined units of data after the defective area according to the predetermined recording operation, and control the recording/reading unit to read the *i*th temporary defect information from the memory after completing recording of data according to the predetermined recording operation and record the read
25 data in an *i*th temporary defect information area of the data area on the recording medium.

According to a further aspect of the present invention, there is provided a write once recording medium having a single record layer in which a lead-in area, a data area, and a lead-out area are sequentially
30 formed, the recording medium including a defect management area on

the recording medium in at least one of the lead-in area and the lead-out area, and a temporary defect management information area which is present in at least one of the lead-in area and the lead-out area and in which information regarding a spare area is recorded to enable defect management of the recording medium.

Brief Description of the Drawings

The above and/or other aspects and advantages of the present invention will become more apparent by describing in detail embodiments thereof with reference to the attached drawings in which:

FIG. 1 is a block diagram of a recording apparatus according to an embodiment of the present invention;

FIGS. 2A and 2B illustrate structures of recording media according to an embodiment of the present invention;

FIG. 3 illustrates structures of the recording media shown in FIGS. 2A and 2B;

FIG. 4 is a detailed diagram illustrating recording operations according to an embodiment of the present invention;

FIG. 5 is a diagram illustrating a relationship between a spare area and spare area information;

FIGS. 6A and 6B illustrate data structures of temporary defect information #1 and #2 according to an embodiment of the present invention;

FIG. 7 illustrates a data structure of a spare area according to an embodiment of the present invention;

FIG. 8 illustrates a data structure of a spare area according to another embodiment of the present invention; and

FIG. 9 is a flowchart illustrating a method for allocating a spare area to a recording medium according to an embodiment of the present invention.

Best mode for carrying out the Invention

The present invention will now be described more fully with reference to the accompanying drawings, in which embodiments of the invention are shown.

5 FIG. 1 is a block diagram of a recording apparatus according to an embodiment of the present invention. Referring to FIG. 1, the recording apparatus includes a recording/reading unit 1, a controller 2, and a memory 3. The recording/reading unit 1 records data on a recording medium 100, e.g., an optical disc, and reads the data from the recording
10 medium 100 so as to verify the accuracy of the recorded data. The controller 2 performs defect management and allocates a spare area to the recording medium 100 according to an occurrence rate of defects. For instance, the controller 2 increases the size of the spare area in the recording medium 100 when the occurrence rate of defects is larger than
15 a predetermined threshold, and reduces the size of the spare area when the occurrence rate is smaller than the predetermined threshold. Information regarding the adjusted spare area size is recorded in a temporary defect information area of the recording medium 100, explained below in more detail. Here, there is no limit regarding a range
20 of the predetermined threshold. However, the predetermined threshold is generally appropriately determined based on a general occurrence rate of defects.

 In this embodiment, the controller 2 uses a verify-after-write method. That is, the controller 2 controls the recording/reading unit 1 to
25 record data in predetermined units and verify the accuracy of the recorded data. Next, the controller 2 creates defect information indicating the position of a defective area of the recording medium 100 and stores it in the memory 3. Every time defect information is created, new defect information is stored in the memory 3. When the amount of
30 the defect information reaches a predetermined level, the controller 2

records the defect information as temporary defect information on the recording medium 100. The controller 2 calculates the occurrence rate of defects and adjusts the size of the spare area based on the calculated occurrence rate. Information regarding the adjusted spare area size is
5 added to the defect information for recording in the temporary defect information area of the recording medium 100. The temporary defect information and management information for managing spare area information are recorded as temporary defect management information on the recording medium 100.

10 In this embodiment, the adjusted spare area size is periodically recorded in recording operation units. Thus, the spare area information as well as the temporary defect information is periodically recorded in recording operation units. In general, the recording operation is a unit of work determined according to user's intention or a recording work to be
15 performed. According to this embodiment, a recording operation indicates a process in which the recording medium 100 is loaded into the recording apparatus, data is recorded on the recording medium 100, and the recording medium 100 is taken out from the recording apparatus. During the recording operation performed several times, generally data is
20 recorded and verified at least once. Defect information obtained after the verify-after-write method is stored as the temporary defect information in the memory 3.

When a user presses an eject button (not shown), of the recording apparatus, in order to remove the recording medium 100 after recording
25 of data, the controller 2 expects the recording operation to be terminated.

Then, the controller 2 adjusts the size of the spare area based on the temporary defect information stored in the memory 3. Further, the controller 2 controls the spare area information and the temporary defect information and the management information, for managing the

temporary defect information, to be recorded as the temporary defect management information on the recording medium 100.

If the recording of data on the recording medium 100 is completed, no more data will be recorded on the recording medium 100, i.e., the recording medium 100 is to be finalized, and the controller 2 records the temporary defect information and the temporary defect management information in a defect management area (DMA) of the recording medium 100.

FIGS. 2A and 2B illustrate structures of the recording medium 100 of FIG. 1 according to embodiments of the present invention. In detail, FIG. 2A illustrates the recording medium 100 having a single record layer recording medium with a record layer L0. The recording medium 100 includes a lead-in area, a data area, and a lead-out area. The lead-in area is located in an inner radial portion of the recording medium 100 and the lead-out area is located in an outer radial portion of the recording medium 100. The data area is present between the lead-in area and the lead-out area, and divided into a user data area and a spare area, with the user data area being an area where user data is recorded

According to embodiments of the present invention, the size of a spare area is variable because the size can be adjusted based on the occurrence rate of defects in the recording medium 100. The spare area substitutes for the user data area having a defect to ensure that data being recorded to the user data area is not lost, due to the defect. Preferably, the spare area initially amounts to about 5% of the entire data capacity of the recording medium 100, so that more data can be recorded on the recording medium 100. Also, it is preferable that the spare area is located at an end of a recording area of the recording medium 100. Especially, in the case of a write once recording medium, the spare area must be located at an end of a recording area of the recording medium so that slipping replacement is performed while the

spare area data is recorded, starting from an inner radial portion toward an outer radial portion of the recording medium 100.

FIG. 2B illustrates a recording medium 100 being a double record layer recording medium with two recording layers L0 and L1. A lead-in area, a data area, and an outer area are sequentially formed from an inner radial portion of the first record layer L0 to an outer radial portion. Also, an outer area, a data area, and a lead-out area are sequentially formed from an outer radial portion of the second record layer L1 to an inner radial portion. Unlike the single record layer recording medium of FIG. 2A, the lead-out area of recording medium 100 in FIG. 2B is present in the inner radial portion of the recording medium 100. That is, the recording medium 100 of FIG. 2B has an opposite track path (OTP) where data is recorded starting from the lead-in area of the first record layer L0 toward the outer area and continues from the outer area of the second record layer L1 to the lead-out area. The respective spare areas are allocated in the first and second record layers L0 and L1. In the recording medium 100 of FIG. 2B, the spare areas are allocated at the end of each recording layer the recording medium 100, in a recording direction, so that their sizes can be changed while data is recorded, when slipping replacement is performed along the OTP.

FIG. 3 illustrates an example of the structure of the recording medium 100 shown in FIGS. 2A and 2B, according to another embodiment of the present invention. Referring to FIG. 3, a DMA is present in at least one of a lead-in area, a lead-out area, and an outer area of the recording medium 100. Also, a temporary defect management area is formed in at least one of the lead-in area and the lead-out area. During every recording operation, a temporary defect information area is formed in the data area and the size of a spare area is adjusted and re-allocated.

In general, information which relates to managing defects in the recording medium 100 is recorded in the DMA. Such information includes a structure of the recording medium 100 for defect management, the position of defect information, whether defect management is performed or not, and the position and size of a spare area, for example.

In the case of a write once recording medium, new data is recorded after previously recorded data when the previously recorded data changes. In general, when a recording medium is loaded into a recording or recording and reproducing apparatus, the apparatus reads data from a lead-in area and a lead-out area of the recording medium to determine how to manage the recording medium and how to record or read data to or from the recording medium. However, if the amount of data recorded in the lead-in area increases, more time will be spent preparing the recording or reproducing of data after loading the recording medium. Accordingly, embodiments of the present invention utilize temporary defect management information and temporary defect information. That is, only the temporary defect management information, which is comparatively more important than the temporary defect information, is recorded in the lead-in area and the temporary defect information is recorded in the data area. Information regarding the spare area is recorded in the temporary defect information area.

It is preferable that new information is added to the previously recorded information in the temporary defect information so that all recorded information is accumulated therein. The recording/reproducing apparatus reads the last recorded temporary defect information to identify defects throughout the recording medium. Thus, information regarding the location of the last recorded temporary defect information is recorded in the temporary defect management information area where the temporary defect management information is recorded.

More specifically, information regarding a defect occurring in the recorded data, and information regarding the size of the spare area, adjusted during recording operation #1, are recorded in temporary defect information area #1. Also, information regarding a defect occurring
5 during recording operation #2, and information regarding the size of the spare area adjusted during recording operation #2, are recorded in temporary defect information area #2. Defect management information for managing temporary defect information areas #1, #2,..., #i is recorded in the temporary defect management information area, with i
10 being an integer. If no more data can be recorded on the recording medium 100 or a user does not want to record any more data on the recording medium 100, the recording medium 100 needs to be finalized and the temporary defect information, recorded in the temporary defect information area, and the temporary defect management information,
15 recorded in the temporary defect management information area, are recorded in the DMA. Recording of information regarding the spare area is optional.

The reason for recording the temporary defect management information and the temporary defect information in the DMA, will now be
20 explained. When no more data is to be recorded on the recording medium 100, and the recording medium 100 needs to be finalized, the temporary defect management information, which is updated several times, and the temporary defect information recorded in the data area, are recorded in the DMA of the lead-in area, thereby enabling fast
25 reading of information recorded in the recording medium 100. It is also possible to increase the reliability of reproducing the recorded information by recording the defect management information in a plurality of areas.

In this embodiment, defect information recorded in temporary
30 defect information areas #0 through #i-1 is repeatedly recorded in the

subsequent temporary defect information areas. Therefore, it is sufficient to read the defect information from the last temporary defect information area and record the read information in the DMA during the finalizing of the recording medium 100. Meanwhile, it is not preferable
5 to record spare area information recorded in preceding temporary defect information areas #1, #2, #3,..., and #i-1 in temporary defect information area #i, because only recently updated spare area information is available.

In the case of a high-density recording medium having recording
10 capacity of several dozens of GB, e.g., high-density blue ray optical disc, it is desirable that a great amount of temporary defect management information #i, which is recorded in the temporary defect management information area and corresponds to temporary defect information area #i, is assigned about 1 cluster, with the size of temporary defect
15 information area #i being set from 4 to 8 clusters. This is because it is preferable to record new information in units of clusters in order to update information when a minimum physical recording unit is a cluster, although the amount of information recorded in temporary defect information area #i is just about several KB. Meanwhile, it is preferable
20 that a total amount of defects allowed in a recording medium be about 5 percent of the recording medium recording capacity. For instance, about 4-8 clusters are required to record temporary defect information #i, considering that information regarding a defect assumes about 8 bytes and the size of a cluster is about 64 KB.

25 The verify-after-write method can be performed in temporary defect information area #i and temporary defect management information #i. When a defect is detected, information is recorded again in adjacent areas.

FIG. 4 is a detailed diagram illustrating recording operations
30 according to an embodiment of the present invention.

In the embodiment represented by FIG. 4, data may be processed in units of sectors or clusters. A sector denotes a minimum unit of data that is managed in a file system of a computer or an application, and a cluster denotes a minimum unit of data that can be physically recorded on a recording medium at one time. In general, one or more sectors
5 constitute a cluster.

There are two types of sectors: a physical sector and a logical sector. The physical sector is an area where a sector of data may be recorded on a recording medium. An address for detecting the physical
10 sector is called a physical sector number (PSN). The logical sector is a sector unit for managing data in a file system or an application recorded in physical sectors on the recording medium, and an address for detecting the logical sector is called a logical sector number (LSN). A recording/reproducing apparatus detects the position of data to be
15 recorded on a recording medium using a PSN. Whole data is managed in units of LSNs in a computer or an application which records data on a recording medium, i.e., the position of data to be recorded is detected using the LSN. The relationship between a LSN and a PSN is controlled by a controller of the recording/reproducing apparatus, based
20 on whether or not a recording medium contains a defect, where the defect is present, and a physical sector number of an initial position of recording data.

Referring to FIG. 4, A denotes a data area in which PSNs have been allocated to a plurality of sectors (not shown) in ascending order.
25 In general, each LSN corresponds to at least one PSN. However, since LSNs are allocated to sectors, except for a defective sector, in ascending order, correspondences between individual PSNs and LSNs are not maintained when a recording medium has a defective area, even if the size of a physical sector is the same as that of a logical sector.

In FIG. 4, data unit sections ① through ⑨ denote a series of recorded units of data, with corresponding verifications being performed after each recording. In detail, a recording apparatus records user data in section ①, returns to the start of section ①, and starts checking if the user data has been appropriately recorded or whether a defect has occurred. If a defect is detected, an area covering the defect and data recorded after the defect in section ① is designated as defect area #1. Next, the recording apparatus records the user data in section ②, returns to the start of section ②, and checks if the user data is appropriately recorded or whether a defect has occurred. If a defect is detected, an area covering the defect and data recorded after the defect in section ② is designated as defect area #2. Likewise, defect area #3 is designated in section ③. However, since a defect is not detected in section ④, a defective area is not designated in section ④.

Because the recording medium 100, according to an embodiment of the present invention, is a write once recording medium, it is desirable that data recorded after an area having a defect is not used and an area covering data recorded after the defect is designated a defective area, as well as the area covering the defect. Assuming that LSN i is allocated to an area where data is recorded, after an area having a defect, in order to use the data, the area where data is recorded after the area having LSN i must be denoted as LSN i-1 for data reproduction. However, if there is a section to which LSNs are not allocated, in ascending order, it is not easy to manage logic sectors. Therefore, in this embodiment, all of data areas after a defective area are also regarded as being defective areas, thereby increasing the efficiency of managing logic sectors.

The size of the spare area is readjusted based on the number of previously detected defects, when recording operation #1 is expected to end, after the recording and verifying of data to the section ④, i.e., when

a user presses an eject button of a recording apparatus or recording of user data during recording operation #1 is completed. Information regarding defects #1 through #3, occurring in the sections ① through ④, and spare area information are recorded in temporary defect information area #1. Thus, temporary defect information area #1 contains information of defective areas for recording operation #1, and information regarding the adjusted spare area size. Further, the size of the spare area is also readjusted in consideration of defects occurring in sections ⑥ - ⑧, when recording operation #2 is expected to end. Temporary defect information #2 contains information of defective areas for recording operation #2, the information recorded in temporary defect information area #1, and spare area information.

FIG. 5 is a diagram illustrating a relationship between a spare area and the spare area information. Referring to FIG. 5, the spare area information is recorded in temporary defect information areas #1 and #2, for example. When recording operation #1 is expected to end, the size of the spare area is adjusted and information regarding the adjusted spare area size is recorded in temporary defect information area #1. The spare area information recorded in temporary defect information area #1 holds information regarding the spare area, the size of which is adjusted. Similarly, the spare area information recorded in temporary defect information area #2 holds information regarding the spare area, the size of which is again adjusted. After termination of recording operation #2, the spare area information is updated and recorded in temporary defect information area #2.

FIGS. 6A and 6B illustrate data structures of temporary defect information #1 and #2 according to embodiments of the present invention. Referring to FIG. 6A, temporary defect information #1 contains information regarding defects #1 through #3. The information regarding the defect #1 describes the position of the defect #1, the

information regarding the defect #2 describes the position of the defect #2, and the information regarding the defect #3 describes the position of the defect #3.

Information regarding temporary defect information #1 is further
5 contained in temporary defect information #1. The information
regarding temporary defect information #1 includes the position of
temporary defect information #1. However, temporary defect
information #1 does not contain user data, and thus, it is not reproduced
as user data during reproduction of user data. That is, for the
10 reproduction of the user data, it is meaningless to distinguish between
defective area #i and temporary defect information #1 because the user
data is not contained therein. In conclusion, temporary defect
information #1 contains information regarding its position, i.e.,
information regarding temporary defect information #1, and thus can be
15 used as useful information, for example, to indicate that the information
is temporary defect information and not user data.

In addition, information regarding the spare area is contained in
temporary defect information #1. The information regarding the spare
area provides information regarding the spare area set after termination
20 of recording operation #1, enabling a recording apparatus to detect the
position and size of the spare area for recording operation #2.

Referring to FIG. 6B, temporary defect information #2 contains
information regarding defects #4 and #5, in addition to the information
contained in temporary defect information #1. Temporary defect
25 information #2 also contains information regarding temporary defect
information #2, which indicates the position of temporary defect
information #2, for the same reason as in temporary defect information
#1. Also, spare area information is further contained in temporary
defect information #2. The information regarding the spare area
30 provides information regarding the spare area set after termination of

recording operation #2, enabling a recording apparatus to detect the position and size of the spare area for a next recording operation.

In this embodiment, information regarding defect #i includes information regarding the state of, e.g., state information, and the starting
5 and ending positions of defect #i. In general, the state information is understood to be flag information that indicates whether a present area is a defective area or an area where temporary defect information is recorded. In the information regarding defect #i, the state information is the flag information which indicates that the present area is a defective
10 area. The information regarding the starting position represents the start of the present area, i.e., the start of the defect #i. The information regarding the ending position represents the end of the present area, i.e., the end of the defect #i. Information regarding temporary defect information #i also includes information regarding the state of and the
15 starting and ending positions of temporary defect information #i. In general, the state information is understood to be flag information that indicates whether a present area is a defective area where a defect occurs or an area where temporary defect information is recorded. In the information regarding temporary defect information #i, the state
20 information is the flag information which indicates that the present area is an area in which temporary defect information is recorded, rather than a defective area.

FIG. 7 illustrates a data structure of a spare area according to an embodiment of the present invention. FIG. 8 illustrates a data structure
25 of a spare area according to another embodiment of the present invention.

Referring to FIG. 7, spare area information indicates a starting position of the spare area. The starting position can be represented with a PSN. Referring to FIG. 8, spare area information indicates a size
30 of the spare area. In this embodiment, since the ending position of the

spare area is fixed, it is possible to easily detect the starting position of the spare area based on the information regarding the size of the spare area.

FIG. 9 is a flowchart illustrating a method of allocating a spare area in a recording medium according to an embodiment of the present invention. Referring to FIG. 9, in operation 901, a recording apparatus creates information regarding a defect in the data area, which is recorded on the recording medium according to a first recording operation, in order to manage a defect occurring in the recording medium.

10 In operation 902, an occurrence rate of defects is calculated based on the defect in the data recorded, according to the first recording operation, and a size of a spare area is adjusted based on the calculated occurrence rate. Here, the recording apparatus may include a mapping table in which information regarding the sizes (or positions) of the spare area, which correspond to several occurrence rates of defects, is

15 mapped for adjustment of the size of the spare area. In operation 903, the recording apparatus records information regarding the adjusted spare area size, as well as the defect information created in operation 901, in a first temporary defect information area. In operation 904, the

20 recording apparatus records first defect management information for managing the first temporary defect information area in a temporary defect management information area in the recording medium.

Next, it is checked whether the recording medium needs to be finalized, in operation 905. If it is determined that the recording medium

25 does not need to be finalized, operations 901 through 904 are repeated while an index, given to the recording operation, the temporary defect information area, and the temporary defect management information, is increased by 1, in operation 906. In operation 907, if it is determined in operation 905 that the recording medium needs to be finalized, the last

30 recorded temporary defect management information and the

corresponding temporary defect information, are recorded in a DMA, and information of the position of the last recorded data is recorded in a data area. That is, the last recorded temporary defect management information and temporary defect information are recorded as the final
5 temporary defect management information and temporary defect information in the DMA. It is preferable that the spare area information is not recorded in the DMA, because the presence of the spare area becomes meaningless once the recording medium is finalized. Instead, information regarding the position of recorded data in a user data area,
10 i.e., PSNs and LSNs, are recorded in the DMA.

The final temporary information and final defect management information may be repeatedly recorded in the DMA so as to increase the reliability of data detection. Further, the verify-after-write method may be performed on the final temporary defect management information and
15 temporary defect information. If a defect is detected from these two pieces of information, an area of the recording medium in which the defect occurs, and data recorded after the area having the defect, may be regarded as being unavailable, i.e., they are designated as a defective area, and the final temporary defect management information
20 and temporary defect information may be again recorded after the defective area.

Meanwhile, in the aforementioned embodiments, the size of a spare area is periodically adjusted per recording operation, but a period of the adjustment is not limited. For instance, the size of the spare area
25 may be adjusted for every other recording operation.

Industrial Applicability

As described above, the present invention provides a method and apparatus that allocate a spare area according to an occurrence rate of
30 defects in a recording medium, resulting in efficient use of a data area,

and a recording medium having a spare area thereon using methods and apparatuses of embodiments of the present invention. In particular, defects occurring in write once recording medium can be managed and a spare area can be allocated according to the occurrence rate of defects
5 in a recording medium, whereby a data area can be efficiently used.

While this invention has been particularly shown and described with reference to aspects thereof, it will be understood by those skilled in the art that various changes in form and details may be made therein without departing from the spirit and scope of the invention as defined by
10 the appended claims.

What is claimed is:

1. A method of allocating a spare area for a recording medium, comprising:

recording data in a data area of the recording medium according
5 to a first recording operation;

creating information regarding an adjustment of a size of an
allocated spare area of the recording medium, based on a defect
detected in the recorded data during the first recording operation;

recording the information regarding the adjustment of the size of
10 the spare area and information regarding the defect, in the data recorded
according to the first recording operation, as first temporary defect
information in the data area of the recording medium; and

recording defect management information to the recording
medium for managing the first temporary defect information as first
15 temporary defect management information in a temporary defect
management information area of the recording medium in at least one of
a lead-in area, a lead-out area, and an outer area of the recording
medium.

20 2. The method of claim 1, further comprising:

repeating another recording of data in the data area, a recording
of another spare area size adjustment information, a recording of
additional defect information, and recording of defect management
information on the recording medium, and creating information regarding
25 the additional adjusted spare area size while increasing an index of
another recording operation, another temporary defect information area,
and the temporary defect management information, by 1; and

recording a lastly recorded temporary defect management
information and temporary defect information in a defect management
30 area (DMA) of the recording medium in at least one of the lead-in area,
the lead-out area, and the outer area of the recording medium.

3. The method of claim 2, wherein the recording of the lastly recorded temporary defect management information and temporary defect information is performed after recording data in the data area
5 according to a last recording operation.

4. The method of claim 2, wherein the recording of the lastly recorded temporary defect management information and temporary defect information further comprises recording information regarding
10 position information of recorded data in the data area.

5. The method of claim 4, wherein the recording of the lastly recorded temporary defect management information and temporary defect information further comprises recording at least one of a physical
15 sector number and a logical sector number as the position information.

6. The method of claim 1, wherein the creating of the information regarding the adjustment of the size of the allocated spare area comprises:
20 calculating an occurrence rate of defects; and
creating information regarding the adjustment of the size of the allocated spare area based on the calculated occurrence rate.

7. The method of claim 6, wherein the creating of the
25 information regarding the adjustment of the size of the allocated spare area based on the calculated occurrence rate uses the occurrence rate of defects and the adjustment of the size of the allocated spare area, or the occurrence rate of defects and a mapping table in which possible positions of the spare area are mapped.

30

8. The method of claim 6, wherein the creating of the information regarding the adjustment of the size of the allocated spare area based on the calculated occurrence rate comprises using information regarding starting position of the spare area to create the
5 adjustment of the size of the allocated spare area.

9. The method of claim 6, wherein the creating of the information regarding the adjustment of the size of the allocated spare area based on the calculated occurrence rate comprises information
10 regarding a size of the spare area as the spare area information.

10. The method of claim 1, wherein the recording of data in the data area of the recording medium according to the first recording operation comprises:

15 recording data in predetermined units of data on the recording medium;

verifying the recorded data to detect an area of the recorded data in which a defect occurs;

storing information designating the area having the defect and
20 subsequent area, in which data is recorded after the area having the defect, as a defective area, in memory;

recording data, on the recording medium, after the defective area in predetermined units, and

the recording of the adjustment of the size of the allocated spare
25 area and the defect information comprises reading the first temporary defect information from the memory and recording the read first temporary defect information in a first temporary defect information area of the data area on the recording medium.

30 11. The method of claim 10, wherein the recording of data in the data area of the recording medium according to the first recording

operation further comprises recording, on the recording medium, information designating the first temporary defect information area as a defective area in the first temporary defect information area.

- 5 12. The method of claim 1, further comprising:
 repeating a cycling of another recording of data in the data area, a
 recording of additional defect information, and recording of defect
 management information on the recording medium for multiple recording
 operations; and
10 alternately recording information regarding additional adjusted
 spare area size for sequential recording operations.

13. The method of claim 1, wherein the size of the spare area
 is reduced when an occurrence rate of defects is smaller than a
15 predetermined threshold.

14. The method of claim 1, wherein the size of the spare area
 is increased when an occurrence rate of defects is greater than a
 predetermined threshold.

- 20 15. A recording or recording and reproducing apparatus,
 comprising:
 a recording/reading unit to record/read data on/from a recording
 medium; and
25 a controller to control the recording/reading unit to record
 information, regarding a defect in data recorded in a data area of the
 recording medium according to a first recording operation, as first
 temporary defect information in the data area, to adjust a size of a spare
 area, of the recording medium, based on the defect and to control the
30 recording/reading unit to record information regarding an adjustment of a
 size of the spare area in a first temporary defect management

information area, and to control the recording/reading unit to record defect management information to manage the first temporary defect information area as first temporary defect management information in a temporary defect management information area on the recording
5 medium in at least one of a lead-in area and a lead-out area of the recording medium.

16. The apparatus of claim 15, wherein the controller controls the recording/reading unit to record data in the data area while increasing
10 an index of another recording operation, another temporary defect information, and another temporary defect management information, by 1, and controls the recording/reading unit to record a lastly recorded temporary defect management information and temporary defect information in a defect management area, on the recording medium in at
15 least one of the lead-in area and the lead-out area of the recording medium.

17. The apparatus of claim 15, wherein the controller calculates an occurrence rate of defects in the data recorded according
20 to the first recording operation and adjusts the adjustment of a size of the allocated spare area based on the calculated occurrence rate.

18. The apparatus of claim 15, wherein the controller creates information regarding the adjustment of a size of the allocated spare
25 area, based on an occurrence rate of defects and the spare area size, or the occurrence rate of defects and a mapping table in which possible positions of the spare area are mapped.

19. The apparatus of claim 15, wherein the controller creates a
30 starting position information of the spare area as spare area information.

20. The apparatus of claim 15, wherein the controller creates information regarding a size of the spare area as spare area information.

21. The apparatus of claim 15, further comprising memory, and
5 wherein the controller controls the recording unit to record data in predetermined units of data according to a predetermined recording operation, verifies the recorded data to detect the defect in the recorded data, creating defective area information, used to designate an area of the recording medium having the defect and data recorded after the area
10 having the defect, as a defective area, and stores the defective area information as an ith temporary defect information in the memory, controls the recording unit to record data in predetermined units of data after the defective area according to the predetermined recording operation, and controls the recording/reading unit to read the ith
15 temporary defect information from the memory after completing recording of data according to the predetermined recording operation and record the read data in an ith temporary defect information area of the data area on the recording medium.

20 22. The apparatus of claim 15, wherein:
the controller repeats a cycling of another recording of data in the data area, a recording of additional defect information, and recording of defect management information on the recording medium for multiple recording operations; and
25 the controller alternately records information regarding additional adjusted spare area size for sequential recording operations.

23. The apparatus of claim 15, wherein a size of the spare area is reduced when an occurrence rate of defects is smaller than a
30 predetermined threshold.

24. The apparatus of claim 15, wherein a size of the spare area is increased when an occurrence rate of defects is greater than a predetermined threshold.

5 25. A write once recording medium having a single record layer in which a lead-in area, a data area, and a lead-out area are sequentially formed, the recording medium comprising:

 a defect management area on the recording medium in at least one of the lead-in area and the lead-out area; and

10 a temporary defect management information area which is present in at least one of the lead-in area and the lead-out area and in which information regarding a spare area is recorded to enable defect management of the recording medium.

15 26. The recording medium of claim 25, wherein management information for managing the temporary defect management information area to be recorded in the temporary defect management information area.

20 27. The recording medium of claim 25, wherein spare area information contains information regarding a starting position of the spare area.

 28. The recording medium of claim 25, wherein spare area
25 information contains information regarding a size of the spare area.

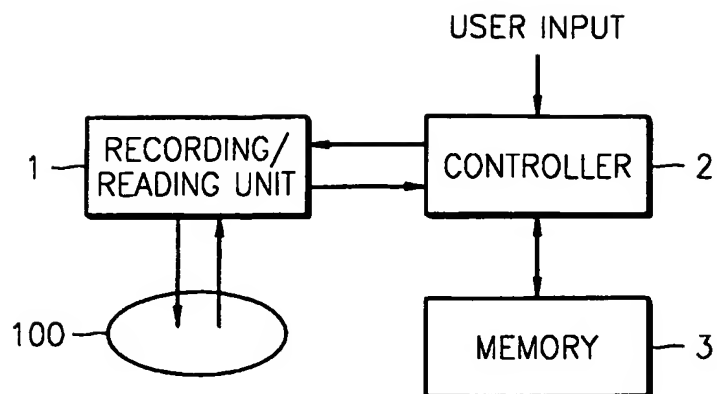
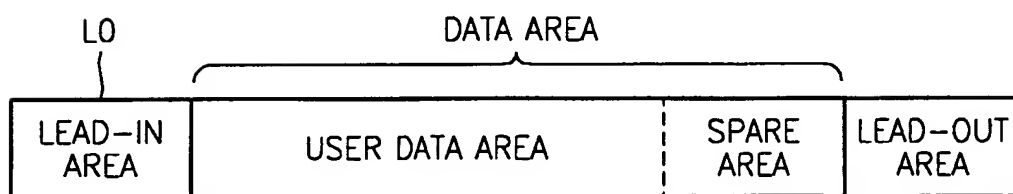
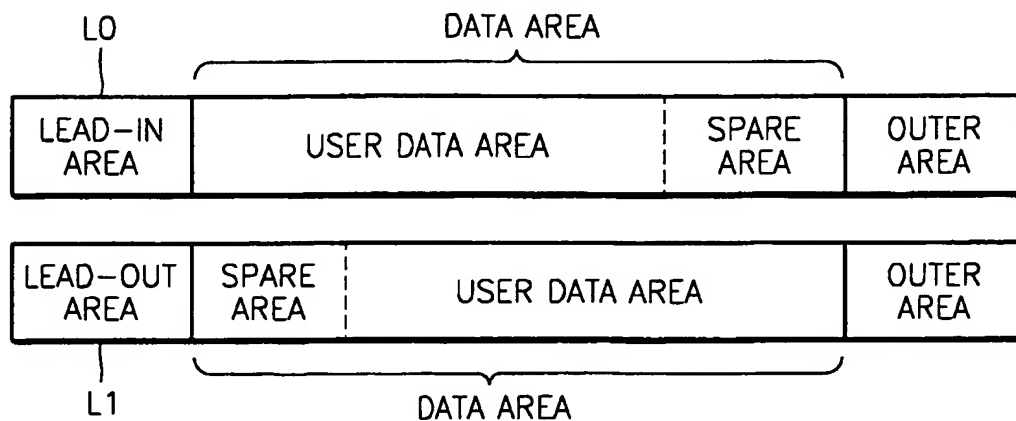
 29. The recording medium of claim 25, wherein information indicating a position of user data in the data area, is recorded in the defect management area.

30

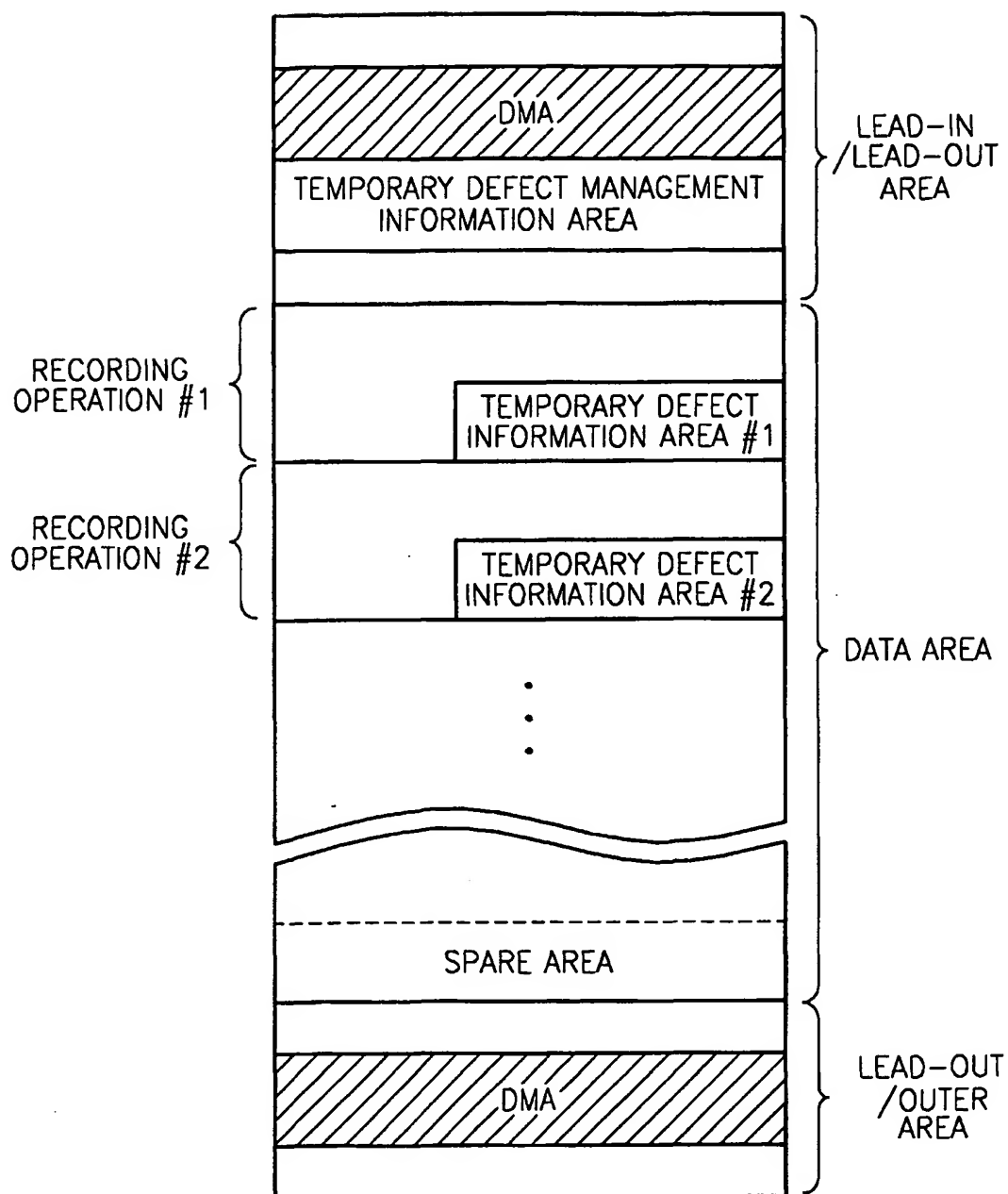
30. The recording medium of claim 25, wherein a size of the spare area is reduced when an occurrence rate of defects is smaller than a predetermined threshold.

- 5 31. The recording medium of claim 25, wherein a size of the spare area is increased when an occurrence rate of defects is greater than a predetermined threshold.

1/8

FIG. 1**FIG. 2A****FIG. 2B**

2/8

FIG. 3

3/8

FIG. 4

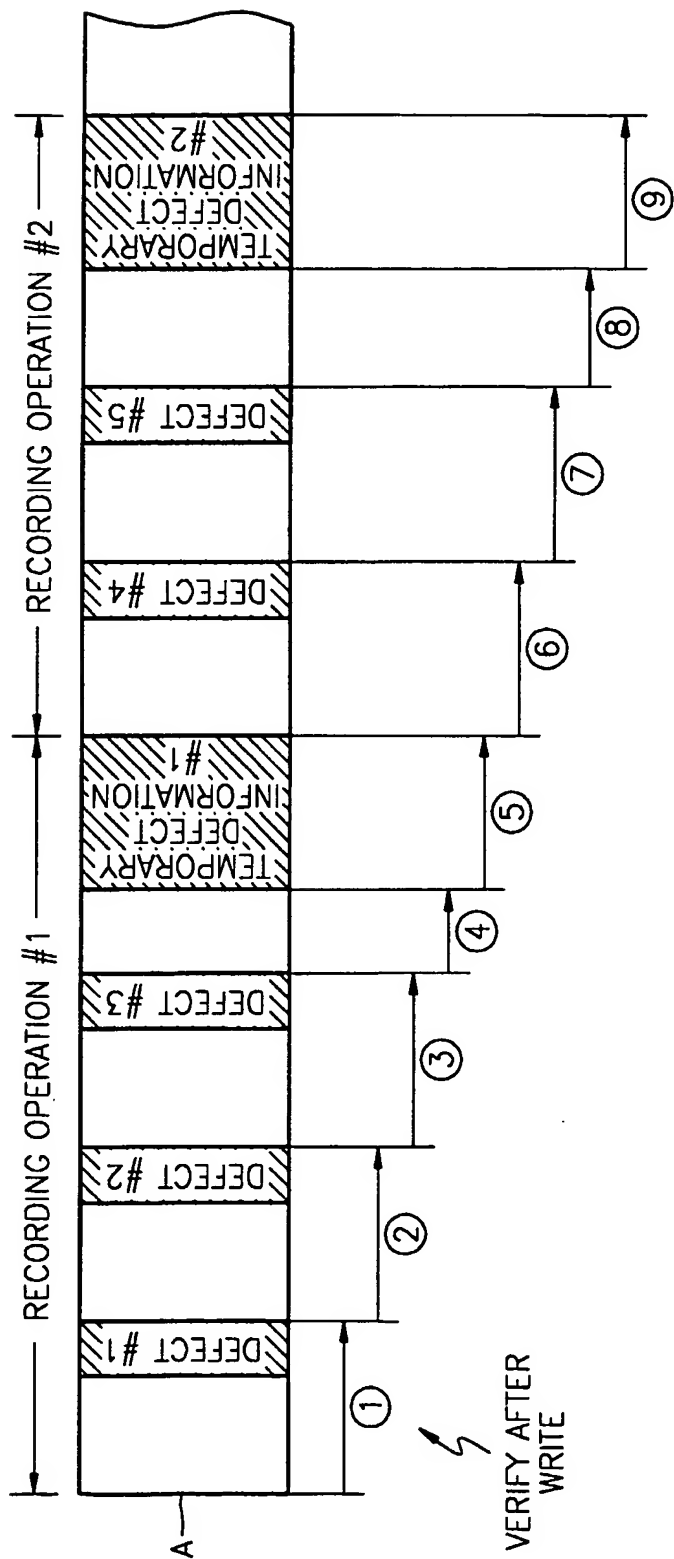
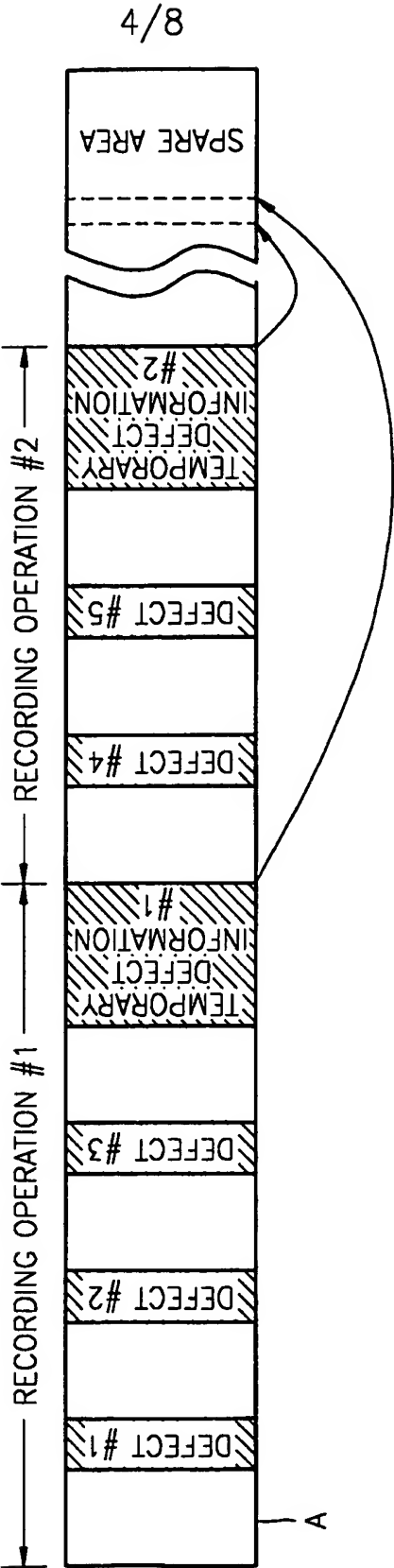
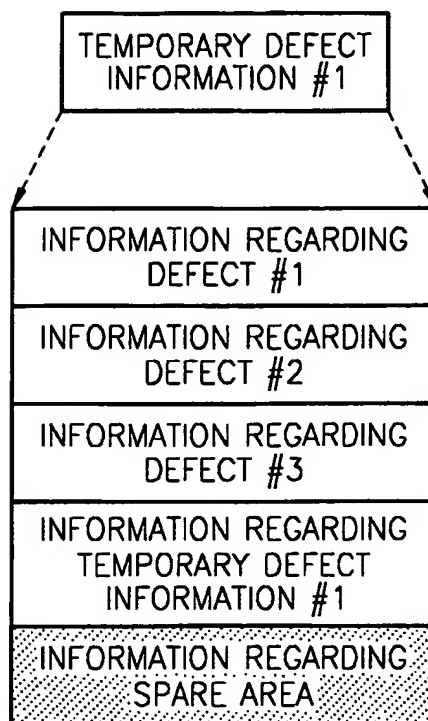


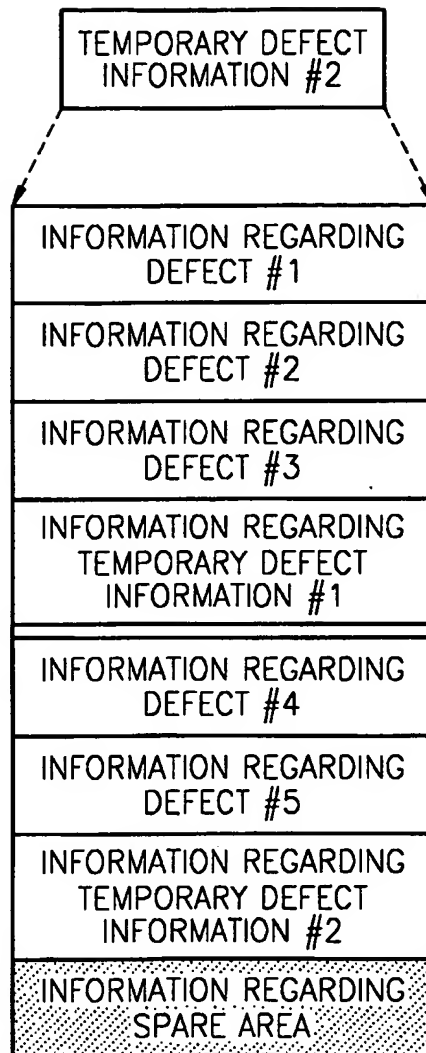
FIG. 5



5/8

FIG. 6A

6/8

FIG. 6B

7/8

FIG. 7

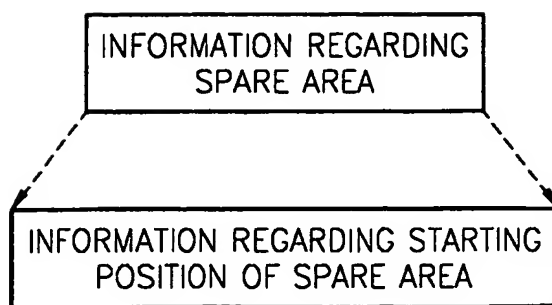
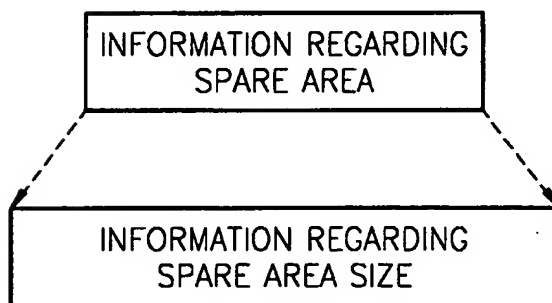
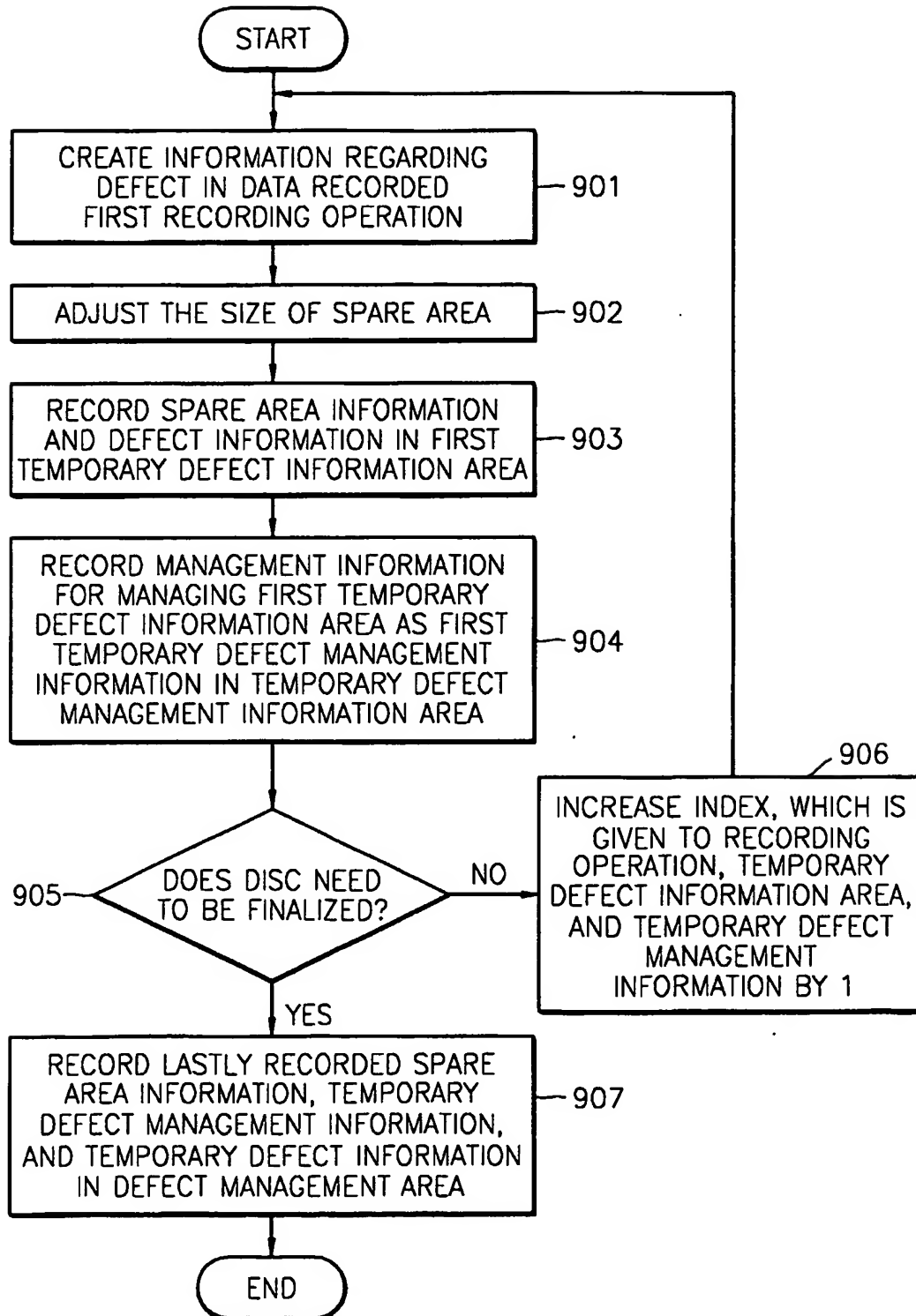


FIG. 8



8/8

FIG. 9



INTERNATIONAL SEARCH REPORT

International application No.
PCT/KR03/01876

A. CLASSIFICATION OF SUBJECT MATTER

IPC7 G11B 20/18

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G11B 20/18 G11B 20/12 G11B 7/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Korea Patents and applications for inventions since 1975

Korea Utility models and applications for utility models since 1975

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

"defect", "manage", "WORM" or "write-once", "optical disc"

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 6,385,148 B2 (MATSUSHITA) 7 MAY 2002 See the whole documents	1, 15, 25
A	EP 0350920 A2 (MATSUSHITA) 17 JANUARY 1990 See the whole documents	1-31
A	US 6,367,038 B1 (SAMSUNG) 2 APRIL 2002 See the whole documents	1-31
A	US 4,835,757 A (TOSHIBA) 30 MAY 1989 See the whole documents	1-31

☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family


Date of the actual completion of the international search

23 DECEMBER 2003 (23.12.2003)

Date of mailing of the international search report

24 DECEMBER 2003 (24.12.2003)

Name and mailing address of the ISA/KR

 Korean Intellectual Property Office
920 Dunsan-dong, Seo-gu, Daejeon 302-701,
Republic of Korea

Facsimile No. 82-42-472-7140

Authorized officer

HAN, Choong Hee

Telephone No. 82-42-481-5700



INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/KR03/01876

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 6,385,148 B2	07 MAY 2002	WO 00/54274 A1 EP 1043723 B1	14 SEP 2000 20 FEB 2002
EP 0350920 A2	17 JAN 2002	JP 02-23417 A US 5,111,444 A	25 JAN 1990 05 MAY 1992
US 6,367,038 B1	02 APR 2002	KR 2000-34797 A JP 2003-115171 A2 EP 1260983 A3	26 JUN 2000 18 APR 2003 02 MAY 2003
US 4,835,757 A	30 MAY 1989	JP 63-58672 A DE 3728857 A1	14 MAR 1988 10 MAR 1988